

Recall: $\widehat{\mathcal{C}} = \{ f \in \widehat{C[X]} \mid f \text{ is additive} \}$

$\mathcal{C} = \{ f \in \widehat{\mathcal{C}} \mid U_f^0 := \{ f_{\omega}(0) \} = f(\widehat{H}_{C[X]}) \text{ is of finite rank over } \mathbb{Z}_p \}$

Thm: ① \mathcal{C} & $\widehat{\mathcal{C}}$ are both \mathbb{Q} -alg's

② We have embeddings of \mathbb{Q} -alg's : $\mathbb{C} \hookrightarrow \mathcal{C} \hookrightarrow \widehat{\mathcal{C}}$
 $\mathbb{C} \mapsto [C] = C[X]$

Last week, we are reduced to showing:

Thm ① $\forall f, g \in \widehat{\mathcal{C}}^0 = \{ f \in \widehat{\mathcal{C}} \mid \|f\|_{sp} \leq 1 \}$, $\exists ! h = f \cdot g \in \widehat{C[X]}$ s.t. $h(0) = 0$
 & $\Gamma_h \subseteq \Gamma_{f \otimes g}$. Moreover, $h \in \widehat{\mathcal{C}}^0$

Most difficult part:
 $\exists h \in \widehat{C[X]}$ s.t. $\Gamma_h \subseteq \Gamma_{f \otimes g}$

② With multiplication defined above, $\widehat{\mathcal{C}}^0$ is a \mathbb{Z}_p -alg.

Proof of ①: Recall $\widehat{\mathcal{C}} \subseteq \widehat{C[X]}$. So we need to prove

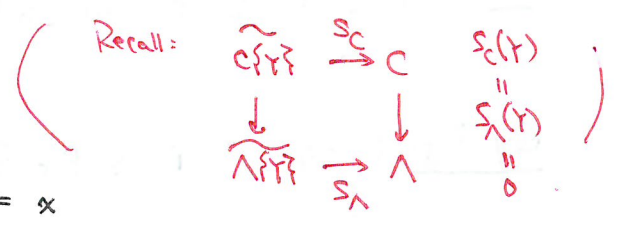
LEM: if $f \in \widehat{C[X]}$ & $g \in \widehat{C[X]}$ s.t. $\|f\|_{sp}, \|g\|_{sp} \leq 1$, then $\exists h \in \widehat{C[X]}$, $\|h\|_{sp} \leq 1$
 such that $\Gamma_h \subseteq \Gamma_{f \otimes g}$.

PF: $\Lambda := \widehat{C[X]}$, symmetric

Regard f as element in $\widehat{C[X]} \subseteq \widehat{\Lambda[X]}$

Choose $\tau \in T_{\Lambda} = \{ \sigma \in \text{Aut}_{\Lambda}(\widehat{\Lambda[X]}) \mid \forall(Y) := \sigma(Y) - Y \in \mathcal{U}_{\Lambda} \}$
 s.t. $\forall(\tau) = g$

Define $h := S_{\Lambda} \circ \tau(f)$



Then $\forall s \in B(0,1) = \text{Spec } \Lambda$, s.t. $s(X) = x$

Consider: $t: \widehat{\Lambda[X]} \xrightarrow{\tau} \widehat{\Lambda[X]} \xrightarrow{S_{\Lambda}} \Lambda \xrightarrow{s} \mathbb{C}$

Then $t(Y) = s \circ S_{\Lambda}(Y + g) = s(g)$, $t(f) = s(S_{\Lambda} \circ \tau(f)) = s(h)$

$\Rightarrow \Gamma_h \subseteq \Gamma_{f \otimes g}$. Note: $\|h\|_{sp} \leq 1 \Leftrightarrow \Gamma_h \subseteq B(0,1) \times B(0,1)$ \square

Continue the proof: It remains to show h is unique & $\in \hat{\mathcal{C}}^0$.

(2)

• Exercise: $f, g \in \hat{\mathcal{C}} \Rightarrow h(0) = 0$.

• Uniqueness: Recall "Cpt - imply - Const Lem" (CIC Lemma, for short)

For $h \in \widehat{C^1}$, $h \in C \Leftrightarrow h(\tilde{T}_C)$ is cpt

(i.e. $\exists M$ cpt, $\forall x \in B(0,1), \{f_{h_0}(x)\} \subseteq M$)

Now, if h, h' st. $\Gamma_h, \Gamma_{h'} \subseteq \Gamma_{f_0 \circ g_0}$, then $\forall x$

$$\{h_0(x)\} - \{h'_0(x)\} \subseteq \{f_0 \circ g_0(x)\} - \{f_0 \circ g_0(x)\} \subseteq \{f_0 \circ g_0(0)\} \uparrow_{\text{cpt}}$$

$$\Rightarrow h - h' = h(0) - h'(0) = 0$$

• $h \in \hat{\mathcal{C}}^0$: $\forall x, y \in B(0,1), \{h_0(x+y)\} - \{h_0(x)\} - \{h_0(y)\}$

$$\subseteq \{f_0 \circ g_0(x+y)\} - \{f_0 \circ g_0(x)\} - \{f_0 \circ g_0(y)\} \subseteq \{f_0 \circ g_0(0)\}$$

Prop 5.2 + $h(0) = 0$

\Rightarrow

$h \in \hat{\mathcal{C}}^0$.

Proof of (z): Need to show: ① $f \cdot (g \cdot h) = (f \cdot g) \cdot h$

$$\textcircled{2} \begin{cases} f \cdot (g_1 + g_2) = f \cdot g_1 + f \cdot g_2 \\ (f_1 + f_2) \cdot g = f_1 \cdot g + f_2 \cdot g \end{cases}$$

$$(f_1 + f_2) \cdot g = f_1 \cdot g + f_2 \cdot g$$

$$\textcircled{3} \forall c \in \mathbb{Z}, [c] \cdot f = cf = f \cdot [c]$$

(By CIC Lemma)

Rmk: $\textcircled{3} \Rightarrow [c] \cdot f = cf = f \cdot [c], \forall c \in \mathbb{Z}_p$ As Fact: $\hat{\mathcal{C}}^0 \times \hat{\mathcal{C}}^0 \rightarrow \hat{\mathcal{C}}^0$ is ops. $(f, g) \mapsto f \cdot g$

§2. $\hat{\mathcal{C}}$ is a division alg.

Thm: $C \subseteq \mathcal{C} \subseteq \hat{\mathcal{C}}$ are embedding of division algebras

Key Prop (§4.) (i) $\forall f \in \hat{\mathcal{C}}$ with $\|f\|_p = 1, \exists! g := f^{-1} \in \hat{\mathcal{C}}$

$$\text{st. } \Gamma_g = {}^t\Gamma_f = \{(y, x) \mid (x, y) \in \Gamma_f\} \text{ \& } \|g\|_p = 1$$

(ii) $V_f^0 = \text{Ker } f_0$ & $V_{f^{-1}}^0$ are both cpt & $\exists \epsilon, \epsilon_2 > 0$ st.

$$C_1 |(V_f^0)^{(n+3)}| \leq |(V_{f'}^0)^{(n)}| \leq C_2 |(V_f^0)^{(n+3)}|$$

Here, for any $V \subseteq B(0,1)$, $V^{(n)} := V / V \cap B(0, p^{-n})$

Prmk: Only need to show 1st inequality. The 2nd follows from exchanging f & f' .

Fact: $V \text{ opt} \Leftrightarrow V \text{ closed} \ \& \ V^{(n)} \text{ finite}, \forall n \ (\Rightarrow V \simeq \varinjlim V^{(n)})$

Key Prop \Rightarrow Thm

• Need to define f^{-1} for $f \in \hat{\mathcal{C}}$

By rescaling, wMA $\|f\|_{\mathcal{C}} = 1$.

• Since $\Gamma_1 \subseteq \Gamma_{f_0 \circ f_{\infty}}, \Gamma_{f_{\infty} \circ f_0} \Rightarrow f \cdot f = 1 = f \cdot f \Rightarrow f^{-1} = f$

• Fact: $V \subseteq B(0,1)$ of rk $k \Leftrightarrow 0 < \liminf \frac{|V^{(n)}|}{p^{nk}} \leq \limsup \frac{|V^{(n)}|}{p^{nk}} < +\infty$

So (2) implies $f \in \mathcal{C}$ iff $f \in \hat{\mathcal{C}}$

Ex. Graph Γ_f (Now, $f \in \hat{\mathcal{C}}$ & $\|f\|_{\mathcal{C}} = 1$)

Recall: $\forall g \in \widehat{C^{fix}}$, $\Gamma_g \subseteq B(0,1) \times B(0, \|g\|_{\mathcal{C}})$ ($\Leftrightarrow g(\tilde{T}_{\mathcal{C}}) \subseteq B(0, \|g\|_{\mathcal{C}}$)

Prop: $f(\tilde{T}_{\mathcal{C}}) \cong B(0,1)$

Pf: (1) if $f \in \widehat{C^{fix}}$. Recall: $\forall f \in \widehat{C^{fix}}, f(0) = 0, \exists$ finitely many $\alpha_1, \dots, \alpha_n \in B(0, \|f\|_{\mathcal{C}})$

$$\text{st. } B(0, \|f\|_{\mathcal{C}}) \setminus \bigcup_{i=1}^n B(\alpha_i, \|f\|_{\mathcal{C}}) \subseteq g(\tilde{T}_{\mathcal{C}})$$

Since $f(\tilde{T}_{\mathcal{C}})$ is a group, ~~can find~~ $\forall \alpha \in \bigcup_{i=1}^n B(\alpha_i, \|f\|_{\mathcal{C}})$

can find β st. $\beta, \beta + \alpha \in B(0,1) \setminus \bigcup_{i=1}^n B(\alpha_i, \|f\|_{\mathcal{C}})$

$$\Rightarrow \alpha = (\beta + \alpha) - \beta \in f(\tilde{T}_{\mathcal{C}})$$

(2) By approximation result from last time,

$$\exists f_1 \in \widehat{C^{fix}}, \|f - f_1\|_{\mathcal{C}} \leq p^{-1}, f_1(0) = 0$$

$$\Rightarrow \|f_1\|_{\mathcal{C}} = 1 \ \& \ \forall \tau \in \tilde{T}_{\mathcal{C}}, f(\tau) \equiv f_1(\tau) \pmod{B(0, p^{-1})}$$

$\Rightarrow f = f_1$ as functions $\tilde{T}_C \rightarrow B(0,1) \rightarrow B(0,1)/B(0,p^{-1})$

$\Rightarrow f: \tilde{T}_C \rightarrow B(0,1)/B(0,p^{-1})$ is surjective.
 \swarrow
 $\ell := \text{set-theoretic section}$

derivation

$\Rightarrow \forall x$, can construct τ_n st $\tau_n \rightarrow \tau$ & $f(\tau) = x$ by using ℓ .

Hint (Lem 2.13): $\{\tau_n\} \subseteq \tilde{T}_C$ has a accumulation pt \Leftrightarrow So does $\{x(\tau_n)\}$

Cor: $B(0,p^{-n}) \subseteq \{f_{\tau_0}(B(0,p^{-n}))\}$

Pf: $\forall y \in B(0,p^{-n}), \exists \tau \in \tilde{T}_C, f(\tau) = \frac{y}{p^n} \Rightarrow y = f(\tau p^n) \in \{f_{\tau_0}(p^n x(\tau))\}$

Lem: $\forall n \geq 1, H_n := H_{\mathbb{C}\{x\}} \cap f^{-1}(B(0,p^{-n-2}))$, $B_n := (\mathbb{C}\{x\}^{(n)})^{H_n}$
 // Gal($\mathbb{C}\{x\}^{(n)}/\mathbb{C}\{x\}$)

Then $\exists f_n \in B_n$ st (1) $\|f - f_n\|_{sp} \leq p^{-n}$ (2) $f_n(0) = 0$ (3) $F(f_n) = K_n = \text{Frac}(B_n)$
 $\Rightarrow \|f_n\|_{sp} = 1$

Notation: $P_n := \text{min. poly. of } f_n / F = \text{Frac}(\mathbb{C}\{x\}) \Rightarrow P_n \in \mathbb{Q}_2\{x\}[Y] = \mathbb{Q}_2\{x, Y\}$

$\bar{P}_n := \text{reduction of } P_n \text{ in } k_{\mathbb{C}}[x, Y], s := \text{deg of } \bar{P}_n \text{ in } X$

Fact: \bar{P}_n is regular in X of deg $s[K_n:K_1]$

Advantage: Recall: $\forall g \in \overline{\mathbb{C}\{x\}}$ with min. poly $P(x, Y)$
 $(x, y) \in \Gamma_g \Leftrightarrow P(x, y) = 0$

Lem: $\forall n \geq 1, \text{T.F.A.E } (V_f^0 = \text{Ker } f_{\tau_0}, U_f^0 = f(\tilde{H}_{\mathbb{C}\{x\}}) = \{f_{\tau_0}(0)\})$

(1) $x \in V_f^0 + B(0,p^{-n})$; (2) $\exists \tau \in \tilde{T}_C$ st. $x = x(\tau)$ & $f(\tau) \in B(0,p^{-n})$

(3) $\{f_{\tau_0}(x)\} \subseteq U_f^0 + B(0,p^{-n})$ (4) $\exists \tau \in \tilde{T}_C, \forall x = x(\tau)$ & $f_n(\tau) \in B(0,p^{-n})$

(5) $\exists y \in B(0,p^{-n}), P_n(x, y) = 0$

Pf: (2) \iff (4) \iff (5)
 $\|f - f_n\|_{sp} \leq \epsilon^{1/n}$ Advantage in $\textcircled{4}$

(5)

(3') \implies (1): $\forall y \in \{f_\omega(x)\}, \exists \tau \in \tilde{T}_C$ st $x = x(\tau), y = f(\tau)$
 As $y \in U_f^\circ + B(0, \rho^{-n}) \implies \exists \sigma_1 \in \tilde{H}_{C \times F}$ (ie. $x(\sigma_1) = 0$) & $a \in B(0, \rho^{-n})$
 st. $y = f(\sigma_1) + a$. Since $B(0, \rho^{-n}) \subseteq \{f_\omega(B(0, \rho^{-n}))\}$
 $\exists \sigma_2$ st. $x(\sigma_2) \in B(0, \rho^{-n}), f(\sigma_2) = a$
 $\implies y = f(\sigma_1, \sigma_2) = f(\tau) \rightsquigarrow f(\tau \sigma_2^{-1} \sigma_1^{-1}) = 0$ ie. $x(\tau \sigma_2^{-1} \sigma_1^{-1}) = x(\tau) - x(\sigma_2) \in V_f^\circ$
 $\implies x = x(\tau) \in V_f^\circ + x(\sigma_2) \subseteq V_f^\circ + B(0, \rho^{-n})$ \square

Cor: $B(0, \rho^{-n}) \not\subseteq V_f^\circ + B(0, \rho^{-n})$

Pf. If not, $B(0, \rho^{-n}) \subseteq \{f_\omega(B(0, \rho^{-n}))\} = \{f_\omega(V_f^\circ + B(0, \rho^{-n}))\} \subseteq U_f^\circ + B(0, \rho^{-n})$. Impossible as U_f° is opt! \square

§4. Proof of Key prop

Step 1: V_f° is closed & $\forall n, \left| (V_f^\circ)^{(n-1)} \right| \leq s[K_n:K_1]$

(i) For $x_n \in V_f^\circ$ st $x_n \rightarrow x$, choose $\tau_n \in \tilde{T}_C$ st $x_n = x(\tau_n)$
 $\implies \{\tau_n\}$ has a limit pt $\tau \implies x(\tau) = x$ & $f(\tau) = \lim f(\tau_n) = 0$ ie. $x \in V_f^\circ$.

(ii) Recall: $P_n(x, Y)$ is regular in X of deg $s[K_n:K_1] =: d$
 $\implies P_n(X, P^n Y) = 0$ has d roots in $\overline{\{F\}}$, namely $h_{n,1}, \dots, h_{n,d}$
 $\implies \forall \tau \in T_{C,Y} (= \{ \tau \in \text{Aut}(\mathbb{Q} \langle \text{CF} \rangle / \mathbb{C}) \mid y(\tau) := \tau(Y) - Y \in U_C \})$
 $h_{n,i}(\tau)$'s are d roots of $P_n(X, P^n y(\tau)) = 0$

Recall: $T_{C,Y} \rightarrow B(0,1), \tau \mapsto y(\tau)$ is surjective.

Previous Lem $\implies V_f^\circ + B(0, \rho^{-n}) = \bigcup_{\tau} h_{n,i}(T_{C,Y})$.

Put $y_{n,i} := h_{n,i}(0), \rho_{n,i} := \|h_{n,i} - y_{n,i}\|_{sp}$

$\Rightarrow \exists$ finite set $J_i \subseteq B(y_{n,i}, p_{n,i})$ st. $h_{n,i}(T_{C,Y}) \supseteq B(y_{n,i}, p_{n,i}) \setminus \bigcup_{\alpha \in J_i} B(\alpha, p_{n,i}^-)$

Assume $p = p_{n,1} = \sup p_{n,i} \Rightarrow B(y_{n,1}, p) \setminus \bigcup_{\alpha \in J_1} B(\alpha, p^-) \subseteq V_f^0 + B(0, p^{-n})$

Since $V_f^0 + B(0, p^{-n})$ is a group, $B(0, p) \subseteq V_f^0 + B(0, p^{-n})$

$\Rightarrow p \leq p^{-n}$

\uparrow Choose $y \in B(y_{n,1}, p)$ st $y \in V_f^0 + B(0, p^{-n})$

$\Rightarrow B(0, p) \setminus \bigcup_{\alpha \in J_1} B(\alpha - y, p^-) \subseteq V_f^0 + B(0, p^{-n})$

$\Rightarrow (V_f^0)^{(n-1)}$ is a quotient of $V_f^0 / (V_f^0 \cap B(0, p)) \cong \frac{V_f^0 + B(0, p)}{B(0, p)} \subseteq \left\{ B(y_{n,i}, p) \text{ mod } B(0, p) \right\}_{i=1}^d$

$\therefore |(V_f^0)^{(n-1)}| \leq d = s[K_n:K_1]$

Step 2: Construction of f

Since $f_n(0) = 0 \Rightarrow P_n(0,0) = 0 \Rightarrow \exists g_n \in \overline{C[X]}^* \text{ st. } P_n(g_n, Y) = 0 \text{ \& } S_n(0) = 0$

$\Rightarrow \|g_n\|_\infty = 1$
 as P_n is regular in X

Let $Q(Y, Y)$ be min. poly of $g_n / C[X]$

\Rightarrow Graph of $g_n := \{(g_n(\tau), y(\tau)) \mid \tau \in \tilde{T}_{C,Y}\} = \{(x, y) \mid Q(x, y) = 0\}$

Now $Q \mid P \Rightarrow$ Graph of $g_n \subseteq \Gamma_{f_n}$

$\Rightarrow \forall \tau \in \tilde{T}_{C,Y}, \exists \sigma_n \in \tilde{T}_C \text{ st. } \begin{cases} g_n(\tau) = x(\sigma_n) \\ y_n(\tau) = f_n(\sigma_n) \end{cases}$ Put $\sigma_n^{-1} \sigma_{n+1} =: u_n$

$\Rightarrow f(u_n) = f(\sigma_{n+1}) - f(\sigma_n) \equiv f_{n+1}(\sigma_{n+1}) - f_n(\sigma_n) \text{ mod } p^n \equiv 0 \text{ mod } p^n$
 $\begin{cases} x(u_n) = x(\sigma_{n+1}) - x(\sigma_n) = g_{n+1}(\tau) - g_n(\tau) \end{cases} \hookrightarrow f(u_n) \in B(0, p^{-n})$

Previous Lem

$\Rightarrow x(u_n) = g_{n+1}(\tau) - g_n(\tau) \in V_f^0 + B(0, p^{-n})$ Note $(g_{n+1} - g_n)(0) = 0$

$\left(\text{Lem (Cor. 2.16)} : \forall f \in \widehat{C[X]} \text{ st } f(0) = 0 \text{ if } \exists p \geq 0 \text{ \& } S \text{ cpt st } f(\tilde{T}_C) \subseteq S + B(0, p) \right)$
 $\Rightarrow \|f\|_{sp} \leq p$

$V_f^0 \text{ cpt} \Rightarrow \|g_{n+1} - g_n\|_{sp} \leq p^{-n} \Rightarrow g := \lim g_n \in \widehat{C[X]} \text{ \& } \|g\| = 1$

Note $\{x(\sigma_n) = g_n(\tau)\}$ is a Cauchy seq. $\Rightarrow \{\sigma_n\}$ has a limit pt σ

st. $g(\tau) = x(\sigma)$ & $y(\tau) = f(\sigma)$

\Rightarrow Graph of $g = \{(g(\tau), y(\tau)) \mid \tau \in \tilde{T}_C, \tau\} \subseteq \{(x(\sigma), f(\sigma)) \mid \sigma \in \tilde{T}_C\} = \Gamma_f$

Regard g as element in $\widehat{C(X; Y)}$, then $\Gamma_g = \{(x(\tau), g(\tau)) \mid \tau \in \tilde{T}_C\} \subseteq {}^t\Gamma_f$

Claim: $\forall \sigma \in \tilde{T}_C, \sigma(g) = g + g(\sigma)$ ($\Rightarrow g \in \hat{\mathcal{E}}$)

Indeed, $h_\sigma := \sigma(g) - g - g(\sigma)$. Then $\forall \tau \in \tilde{T}_C, h_\sigma(\tau) = g(\tau\sigma) - g(\tau) - g(\sigma)$

$\Rightarrow (h_\sigma(\tau), 0) = (g(\tau\sigma), x(\tau\sigma)) - (g(\tau), x(\tau)) - (g(\sigma), x(\sigma)) \subseteq \Gamma_f$

$\Rightarrow h_\sigma(\tau) \in V_f^0 \Rightarrow h_\sigma \equiv h_\sigma(0) = 0$ (By CIC Lemma)

Step 3: $\Gamma_g = {}^t\Gamma_f$ & g is unique!

As $g \in \hat{\mathcal{E}}$ & $\|g\|_f = 1$, $\exists h \in \hat{\mathcal{E}}, \|h\|_f = 1$ st. $\Gamma_h \subseteq {}^t\Gamma_g \subseteq \Gamma_f$

$\Rightarrow (h-f)(\tau) \in V_f^0, \forall \tau \in \tilde{T}_C \Rightarrow h-f = 0$ (by CIC)

Exercise: f is unique! By using $U_f^0 = \{f_{\sigma(0)}\}$ is cpt

Step 4: $|(V_f^0)^{(n-3)}| \leq C |(V_f^0)^{(n)}|$

$(V_f^0)^{(n)} = \frac{f(\tilde{H}_{C(X; Y)})}{f(\tilde{H}_{C(X; Y)} \cap f^{-1}(B(0, \rho^n)))} \simeq \frac{H_{C(X; Y)}}{H_{n-2}}$

$\Rightarrow |(V_f^0)^{(n-3)}| \leq s [K_{n-2} : K_1] = \frac{s [K_{n-2} : F]}{[K_1 : F]} = \frac{s}{[K_1 : F]} |(V_f^0)^{(n)}|$

Prop: $\{f \in \mathcal{E} \mid \forall c \in \tilde{H}_{C(X; Y)}, f(c) = 0\} = C = \{f \in \hat{\mathcal{E}} \mid f \cdot [c] = [c] \cdot f, \forall c \in C\}$

